

# Statistical Machine Translation

## A practical tutorial

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Universitat Politècnica de Catalunya

GF meets SMT  
Chalmers University of Technology, Göteborg  
1st November, 2010

- 1 Introduction
- 2 Basics
- 3 Components
- 4 The log-linear model
- 5 Beyond standard SMT

## Part I: SMT background

~ 2h

6 Translation system

**Part II: SMT experiments**

~ 2h

7 MT Evaluation basics

**Part III: MT evaluation**

8 Evaluation system

~ 45min

## Part I

SMT background

# Outline

- 1 Introduction
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# Introduction

## Machine Translation Taxonomy

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graph TD; A[Machine Translation systems] --- B[Human Translation with Machine Support]; A --- C[Machine Translation with Human Support]; A --- D[Fully Automated Translation]
```

### Machine Translation systems

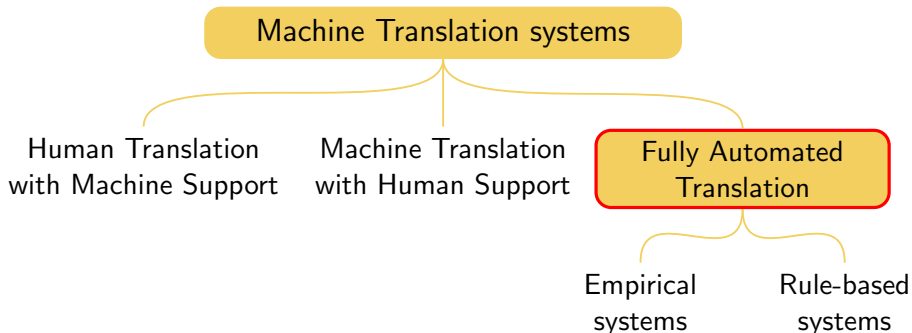
Human Translation  
with Machine Support

Machine Translation  
with Human Support

Fully Automated  
Translation

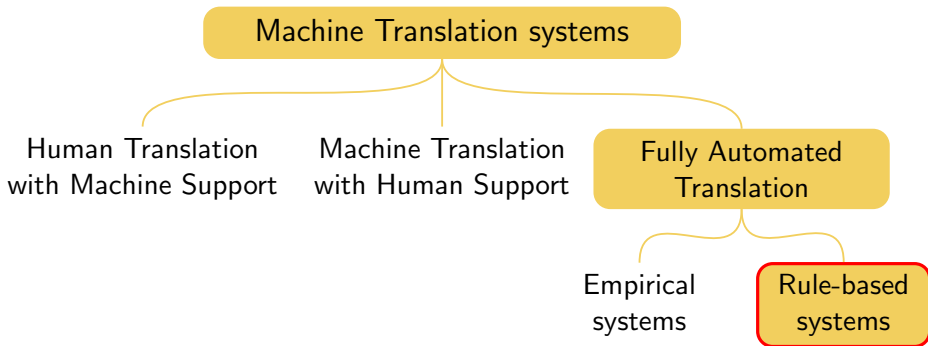
# Introduction

## Machine Translation Taxonomy



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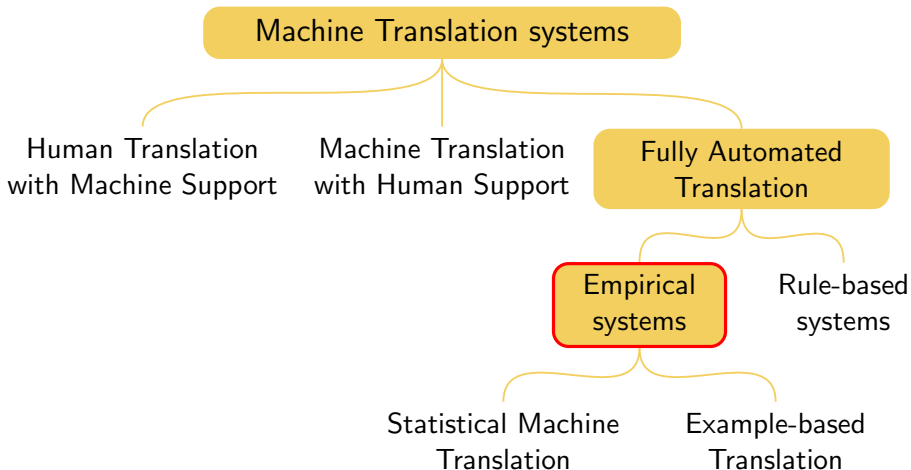
## Machine Translation Taxonomy





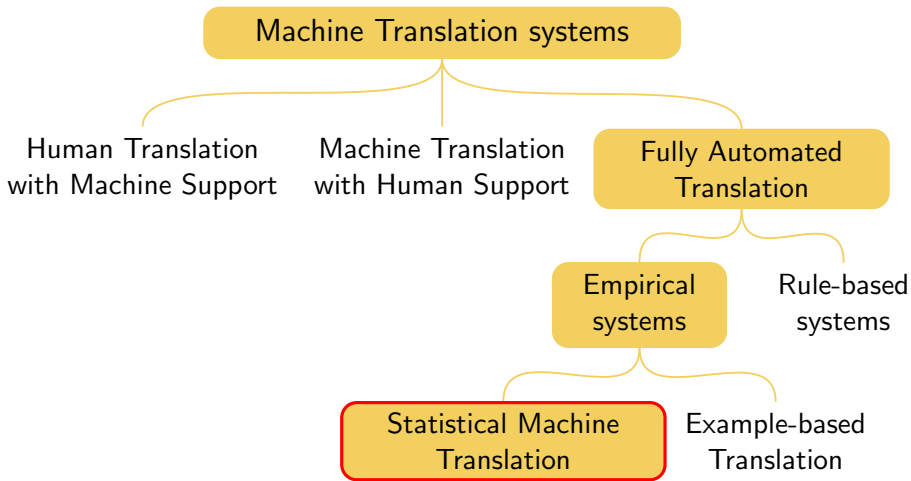
# Introduction

## Machine Translation Taxonomy



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# Introduction

## Empirical Machine Translation

### Empirical MT relies on large parallel aligned corpora.

L'objectiu de MOLTO és desenvolupar un conjunt d'eines per a traduir textos entre diversos idiomes en temps real i amb alta qualitat. Les llengües són mòduls separats en l'eina i per tant es poden canviar; els prototips que es construïren cobriran la major part dels 23 idiomes oficials de la UE.

Com a tècnica principal, MOLTO utilitza gramàtiques semàntiques de domini específic i interlingües basades en ontologies. Aquests components s'implementen en GF (Grammatical Framework), un formalisme de gramàtiques on es relacionen diversos idiomes a través d'una sintaxi abstracta comú. El GF s'ha aplicat en diversos dominis de mida petita i mitjana, típicament per tractar fins a un total de deu idiomes, però MOLTO ampliarà això en termes de productivitat i aplicabilitat.

Part de l'ampliació es dedicarà a augmentar la mida dels dominis i el nombre d'idiomes. Una part important és fer la tecnologia accessible per als experts del domini sense experiència amb GFs i reduir al mínim l'esforç necessari per a la construcció d'un traductor. Idealment, això es pot fer només estenent un lèxic i escrivint un conjunt de frases d'exemple.

MOLTO's goal is to develop a set of tools for translating texts between multiple languages in real time with high quality. Languages are separate modules in the tool and can be varied; prototypes covering a majority of the EU's 23 official languages will be built.

As its main technique, MOLTO uses domain-specific semantic grammars and ontology-based interlinguas. These components are implemented in GF (Grammatical Framework), which is a grammar formalism where multiple languages are related by a common abstract syntax. GF has been applied in several small-to-medium size domains, typically targeting up to ten languages but MOLTO will scale this up in terms of productivity and applicability.

A part of the scale-up is to increase the size of domains and the number of languages. A more substantial part is to make the technology accessible for domain experts without GF expertise and minimize the effort needed for building a translator. Ideally, this can be done by just extending a lexicon and writing a set of example sentences.

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## Empirical Machine Translation

### Aligned parallel corpora numbers

#### Corpora

Corpus	# segments (app.)	# words (app.)
JRC-Acquis	$1.0 \cdot 10^6$	$30 \cdot 10^6$
Europarl	$1.5 \cdot 10^6$	$45 \cdot 10^6$
United Nations	$3.8 \cdot 10^6$	$100 \cdot 10^6$

#### Books

Title	# words (approx.)
The Bible	$0.8 \cdot 10^6$
The Dark Tower series	$1.2 \cdot 10^6$
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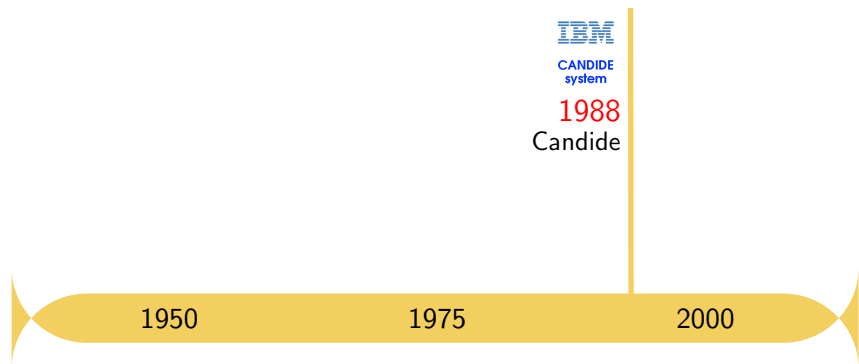
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# SMT, basics

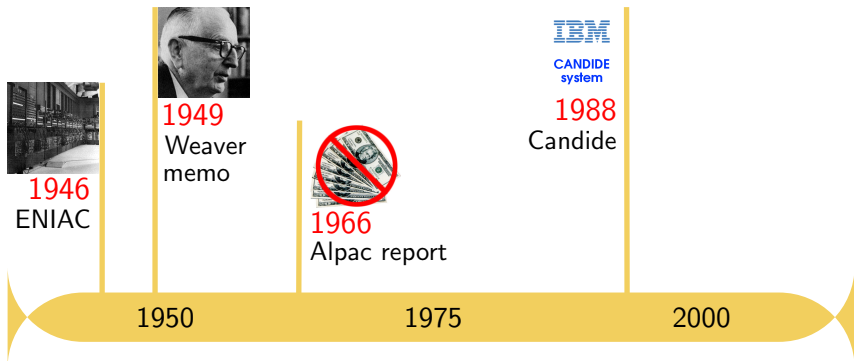
The beginnings, summarised timeline





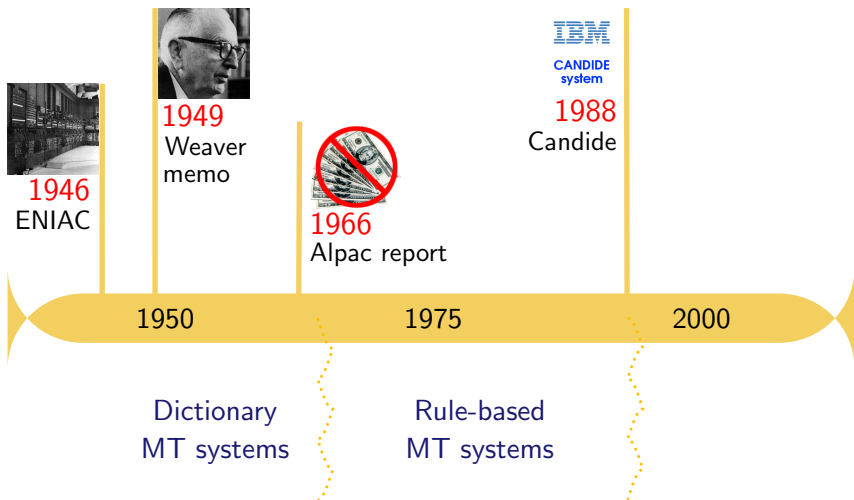
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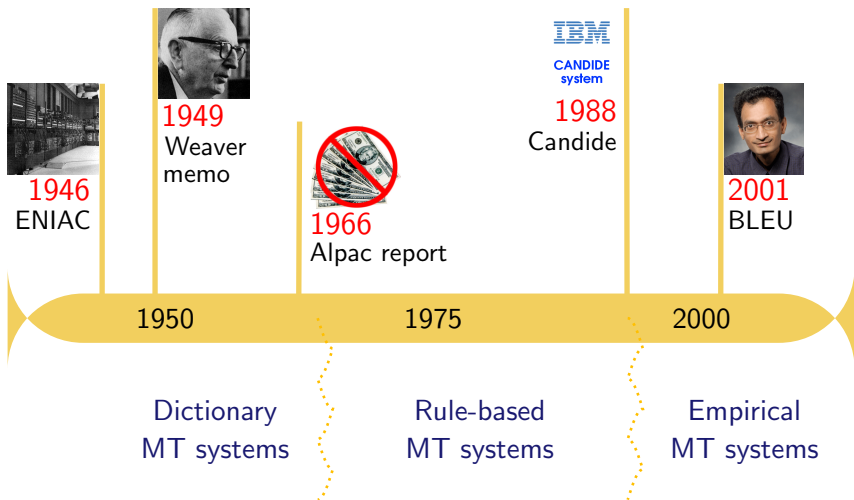
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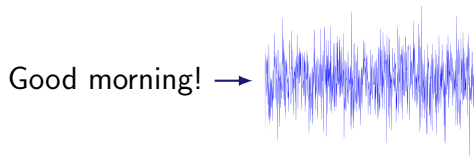
The beginnings, summarised timeline



# SMT, basics

## The Noisy Channel approach

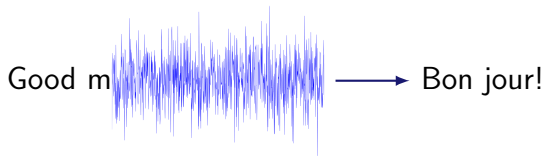
**The Noisy Channel** as a statistical approach to translation:



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## The Noisy Channel approach

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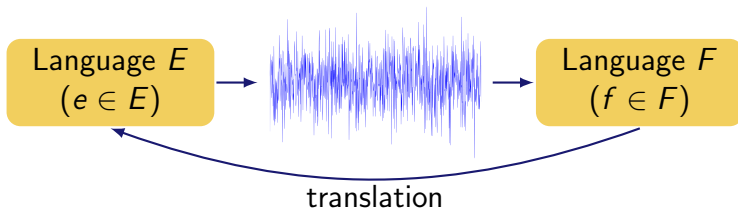
# SMT, basics

## The Noisy Channel approach

**The Noisy Channel** as a statistical approach to translation:

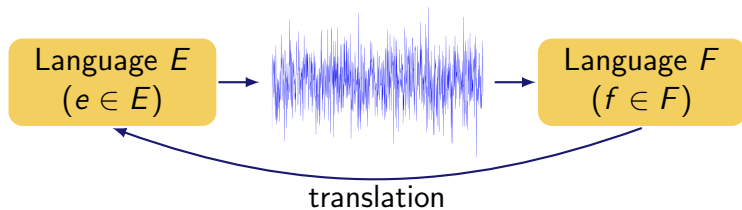
$e$ : Good morning!

$f$ : Bon jour!



# SMT, basics

The Noisy Channel approach

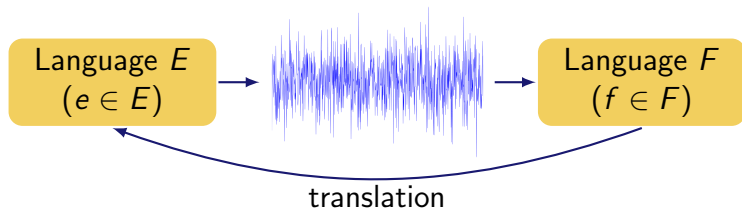


Mathematically:

$$P(e|f)$$

# SMT, basics

## The Noisy Channel approach



Mathematically:

$$P(e|f) = \frac{P(e) P(f|e)}{P(f)}$$

$$T(f) = \hat{e} = \operatorname{argmax}_e P(e|f) = \operatorname{argmax}_e P(e) P(f|e)$$



# SMT, basics

## Components

$$T(f) = \hat{e} = \operatorname{argmax}_e P(e) P(f|e)$$

### Language Model

- Takes care of fluency in the target language
- Data: corpora in the target language

### Translation Model

- Lexical correspondence between languages
- Data: aligned corpora in source and target languages

### argmax

- Search done by the *decoder*

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  - Decoder
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# SMT, components

The language model  $P(e)$

## Language model

$$T(f) = \hat{e} = \operatorname{argmax}_e P(e) P(f|e)$$

Estimation of how probable a sentence is.

Naïve estimation on a corpus with  $N$  sentences:

Frequentist probability  
of a sentence  $e$ :

$$P(e) = \frac{N_e}{N_{\text{sentences}}}$$

Problem:

- Long chains are difficult to observe in corpora.  
⇒ Long sentences may have zero probability!

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## The n-gram approach

The language model assigns a probability  $P(e)$  to a sequence of words  $e \Rightarrow \{w_1, \dots, w_m\}$ .

$$P(w_1, \dots, w_m) = \prod_{i=1}^m P(w_i | w_{i-(n-1)}, \dots, w_{i-1})$$

- The probability of a sentence is the product of the conditional probabilities of each word  $w_i$  given the previous ones.
- Independence assumption: the probability of  $w_i$  is only conditioned by the  $n$  previous words.



# SMT, components

The language model  $P(e)$

Example, a 4-gram model

$e$ : All work and no play makes Jack a dull boy

$$P(e) = P(\text{All}|\phi, \phi, \phi) P(\text{work}|\phi, \phi, \text{All}) P(\text{and}|\phi, \text{All}, \text{work}) \\ P(\text{no}|\text{All}, \text{work}, \text{and}) P(\text{play}|\text{work}, \text{and}, \text{no}) \\ P(\text{makes}|\text{and}, \text{no}, \text{play}) P(\text{Jack}|\text{no}, \text{play}, \text{makes}) \\ P(\text{a}|\text{play}, \text{makes}, \text{Jack}) P(\text{dull}|\text{makes}, \text{Jack}, \text{a}) \\ P(\text{boy}|\text{Jack}, \text{a}, \text{dull})$$

where, for each factor,

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# SMT, components

The language model  $P(e)$

Main problems and criticisms:

- Long-range dependencies are lost.
- Still, some  $n$ -grams can be not observed in the corpus.

## Solution

Smoothing techniques:

- Linear interpolation.

$$P(\text{and}|\text{All, work}) = \frac{N_{(\text{All,work, and})}}{N_{(\text{All,work})}} + \lambda_2 \frac{N_{(\text{work, and})}}{N_{(\text{work})}} + \lambda_1 \frac{N_{(\text{and})}}{N_{\text{words}}} + \lambda_0$$

# SMT, components

The language model  $P(e)$

Main problems and criticisms:

- Long-range dependencies are lost.
- Still, some  $n$ -grams can be not observed in the corpus.

## Solution

Smoothing techniques:

- Linear interpolation.
- Back-off models.

$$P(\text{and}|\text{All, work}) = \frac{N_{(\text{All, work, and})}}{N_{(\text{All, work})}} + \lambda_2 \frac{N_{(\text{work, and})}}{N_{(\text{work})}} + \lambda_1 \frac{N_{(\text{and})}}{N_{\text{words}}} + \lambda_0$$

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The language model  $P(e)$

Main problems and criticisms:

- Long-range dependencies are lost.
- Still, some  $n$ -grams can be not observed in the corpus.

## Solution

Smoothing techniques:

- Linear interpolation.

$$P(\text{and}|\text{All, work}) = \lambda_3 \frac{N_{(\text{All, work, and})}}{N_{(\text{All, work})}} + \lambda_2 \frac{N_{(\text{work, and})}}{N_{(\text{work})}} + \lambda_1 \frac{N_{(\text{and})}}{N_{\text{words}}} + \lambda_0$$



# SMT, components

The language model  $P(e)$

## Language model: keep in mind

- Statistical LMs estimate the probability of a sentence from its n-gram frequency counts in a monolingual corpus.
- Within an SMT system, it contributes to select fluent sentences in the target language.
- Smoothing techniques are used so that not frequent translations are not discarded beforehand.

# SMT, components

The translation model  $P(f|e)$

## Translation model

$$T(f) = \hat{e} = \operatorname{argmax}_e P(e) P(f|e)$$

Estimation of the lexical correspondence between languages.

How can be  $P(f|e)$  characterised?



# SMT, components

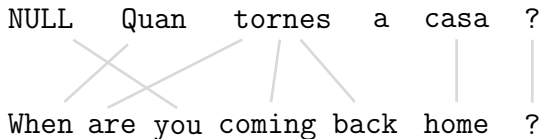
The translation model  $P(f|e)$

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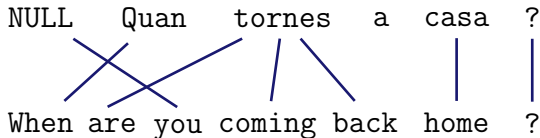
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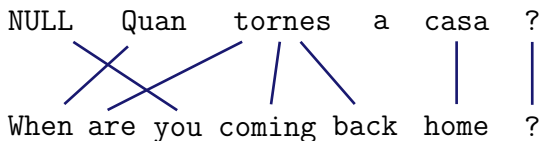
Estimation of the lexical correspondence between languages.

How can be  $P(f|e)$  characterised?



# SMT, components

The translation model  $P(f|e)$



One should at least model for *each word* in the source language:

- Its translation,
- the number of necessary words in the target language,
- the position of the translation within the sentence,
- and, besides, the number of words that need to be generated from scratch.

# SMT, components

The translation model  $P(f|e)$

## Word-based models: the IBM models

They characterise  $P(f|e)$  with 4 parameters:  $t$ ,  $n$ ,  $d$  and  $p_1$ .

- Lexical probability  $t$   
 $t(\text{Quan}|\text{When})$ : the prob. that **Quan** translates into **When**.
- Fertility  $n$   
 $n(3|\text{tornes})$ : the prob. that **tornes** generates 3 words.

# SMT, components

The translation model  $P(f|e)$

## Word-based models: the IBM models

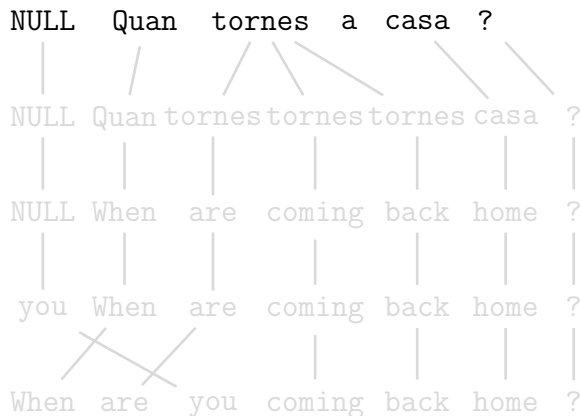
They characterise  $P(f|e)$  with 4 parameters:  $t$ ,  $n$ ,  $d$  and  $p_1$ .

- Distortion  $d$   
 $d(j|i, m, n)$ : the prob. that the word in the  $j$  position generates a word in the  $i$  position.  $m$  and  $n$  are the length of the source and target sentences.
- Probability  $p_1$   
 $p(\text{you}|\text{NULL})$ : the prob. that the spurious word `you` is generated (from `NULL`).

# SMT, components

The translation model  $P(f|e)$

Back to the example:



Fertility

Translation

Insertion

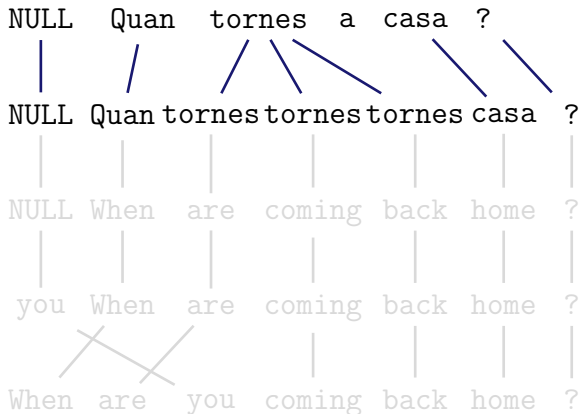
Distortion



# SMT, components

The translation model  $P(f|e)$

Back to the example:



Fertility

Translation

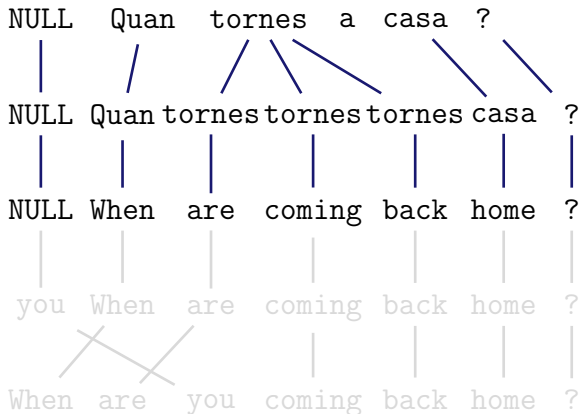
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# SMT, components

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Back to the example:



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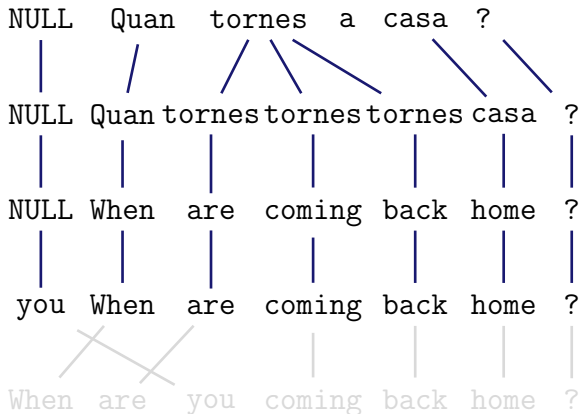
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Back to the example:



Fertility

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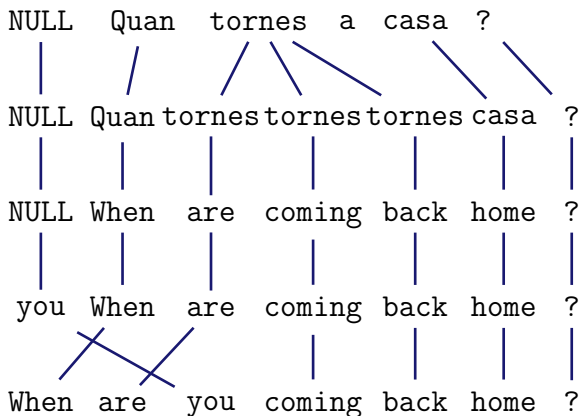
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Distortion

# SMT, components

The translation model  $P(f|e)$

Back to the example:



Fertility

Translation

Insertion

Distortion

# SMT, components

The translation model  $P(f|e)$

## Word-based models: the IBM models

How can be  $t$ ,  $n$ ,  $d$  and  $p_1$  estimated?

- Statistical model  $\Rightarrow$  counts in a (huge) corpus!

But...

- Corpora are aligned at sentence level, not at word level.

Solutions

- Pay someone to align 2 million sentences word by word.
- Estimate word alignments together with the parameters.

# SMT, components

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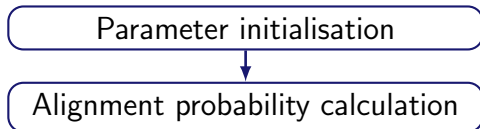
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# SMT, components

The translation model  $P(f|e)$

## Expectation-Maximisation algorithm

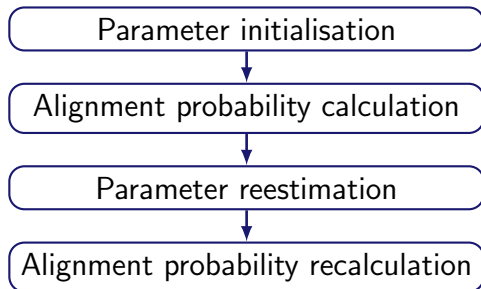




# SMT, components

The translation model  $P(f|e)$

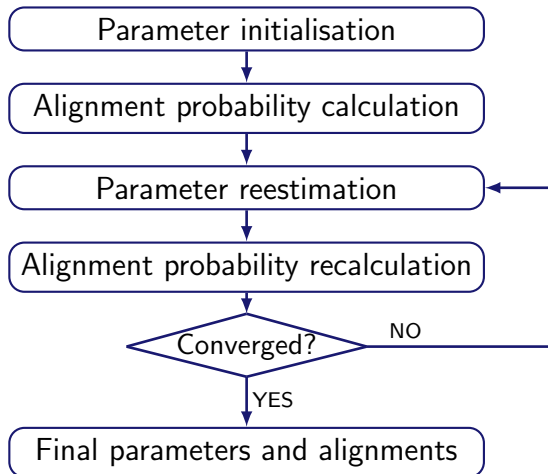
## Expectation-Maximisation algorithm



# SMT, components

The translation model  $P(f|e)$

## Expectation-Maximisation algorithm



# SMT, components

The translation model  $P(f|e)$

## Alignment's asymmetry

The definitions in IBM models make the alignments asymmetric

- each target word corresponds to only one source word, but the opposite is not true due to the definition of **fertility**.

Catalan  
to  
English

NULL Quan tornes a casa ?  
When are you coming back home ?

English  
to  
Catalan

NULL When are you coming back home ?  
Quan tornes a casa ?

# SMT, components

The translation model  $P(f|e)$

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English  
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Quan tornes a casa ?

# SMT, components

The translation model  $P(f|e)$

Graphically:

	NULL	Quan	tornes	a	casa	?
NULL						
When						
are						
you						
coming						
back						
home						
?						

Catalan to English

# SMT, components

The translation model  $P(f|e)$

Graphically:

	NULL	Quan	tornes	a	casa	?
NULL						
When						
are						
you						
coming						
back						
home						
?						

English to Catalan

# SMT, components

The translation model  $P(f|e)$

Alignment symmetrisation

- Intersection: high-confidence, high precision.

	NULL	Quan	tornes	a	casa	?
NULL						
When						
are						
you						
coming						
back						
home						
?						

Catalan to English  $\cap$  English to Catalan

# SMT, components

The translation model  $P(f|e)$

Alignment symmetrisation

- Union: lower confidence, high recall.

	NULL	Quan	tornes	a	casa	?
NULL						
When						
are						
you						
coming						
back						
home						
?						

Catalan to English  $\cup$  English to Catalan



# SMT, components

The translation model  $P(f|e)$

## From Word-based to Phrase-based models

f: En David llegeix el llibre nou.

# SMT, components

The translation model  $P(f|e)$

## From Word-based to Phrase-based models

f: **En** David llegeix el llibre nou.

e:  $\phi$

# SMT, components

The translation model  $P(f|e)$

## From Word-based to Phrase-based models

f: En **David** llegeix el llibre nou.

e: **David**

# SMT, components

The translation model  $P(f|e)$

## From Word-based to Phrase-based models

f: En David **llegeix** el llibre nou.

e: David **reads**

# SMT, components

The translation model  $P(f|e)$

## From Word-based to Phrase-based models

f: En David llegeix **el** llibre nou.

e: David reads **the**

# SMT, components

The translation model  $P(f|e)$

## From Word-based to Phrase-based models

f: En David llegeix el **llibre** nou.

e: David reads the **book**

# SMT, components

The translation model  $P(f|e)$

## From Word-based to Phrase-based models

f: En David llegeix el llibre nou.

e: David reads the book new.

# SMT, components

The translation model  $P(f|e)$

## From Word-based to Phrase-based models

f: En David llegeix el llibre nou.

e: David reads the book new. ~



# SMT, components

The translation model  $P(f|e)$

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f: En David llegeix el llibre nou.

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# SMT, components

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# SMT, components

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e: David reads the new book. ✓

f: **En** David llegeix el llibre de nou.

e:  $\phi$

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f: En David llegeix el llibre nou.

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f: **En** David llegeix el llibre de nou.

e: David reads the book of new. ✗

e:  $\phi$

# SMT, components

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f: En David llegeix el llibre nou.

e: David reads the new book. ✓

f: En **David** llegeix el llibre de nou.

e: David reads the book of new. ✗

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e: David reads the book again.



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# SMT, components

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f: En David llegeix el llibre nou.

e: David reads the new book. ✓

f: En David llegeix el llibre de nou.

e: David reads the book of new. ✗

e: David reads the book again. ✓

- Some sequences of words usually translate together.
- Approach: take sequences (**phrases**) as translation units.

# SMT, components

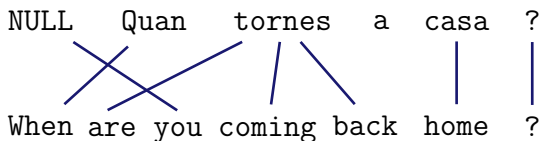
The translation model  $P(f|e)$

## What can be achieved with phrase-based models (as compared to word-based models)

- Allow to translate **from several to several words** and not only from one to several.
- Some local and short range **context** is used.
- **Idioms** can be caught.

# SMT, components

The translation model  $P(f|e)$

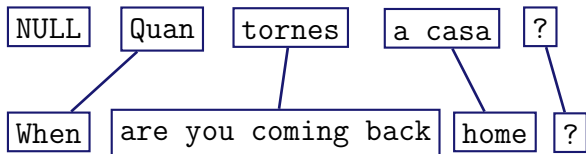


With the new translation units,  $P(f|e)$  can be obtained following the **same strategy** as for word-based models with few modifications:

- 1 Segment source sentence in phrases.
- 2 Translate each phrase into the target language.
- 3 Reorder the output.

# SMT, components

The translation model  $P(f|e)$

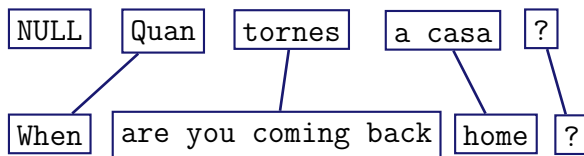


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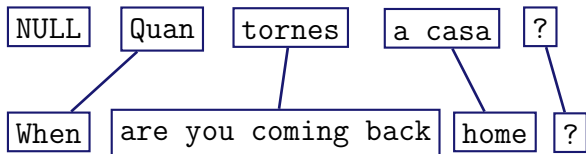


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# SMT, components

The translation model  $P(f|e)$



But...

- Alignments need to be done at phrase level

Options

- Calculate phrase-to-phrase alignments  $\Rightarrow$  hard!
- Obtain phrase alignments from word alignments  $\Rightarrow$  how?

# SMT, components

The translation model  $P(f|e)$

Questions to answer:

- How do we obtain phrase alignments from word alignments?
- And, by the way, **what's exactly a phrase?!**

A **phrase** is a sequence of words consistent with word alignment. That is, no word is aligned to a word outside the phrase. But a phrase **is not** necessarily a linguistic element.

---

We do not use the term phrase here in its linguistic sense: a phrase can be any sequence of words, even if they are not a linguistic constituent.



# SMT, components

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# SMT, components

The translation model  $P(f|e)$

**Phrase extraction** through an example:

	Quan	tornes	tu	a	casa	?
When	■	■				
are		■				
you			■			
coming		■				
back						
home					■	
?						■

(Quan tornes, When are you coming back)

# SMT, components

The translation model  $P(f|e)$

**Phrase extraction** through an example:

	Quan	tornes	tu	a	casa	?
When	■	■				
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back						
home					■	
?						■

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# SMT, components

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**Phrase extraction** through an example:

	Quan	tornes	tu	a	casa	?
When	■					
are		■				
you			■			
coming		■				
back						
home					■	
?						■

~~(Quan tornes, When are you coming back)~~

(Quan tornes tu, When are you coming back)

# SMT, components

The translation model  $P(f|e)$

## Intersection

	Quan	tornes	a	casa	?
When					
are					
you					
coming					
back					
home					
?					

(Quan, When) (Quan tornes, When are you coming) (Quan tornes a casa, When are you coming back home) (Quan tornes a casa ?, When are you coming back home ?) (tornes, coming) (tornes a casa, coming back home) (tornes a casa ?, coming back home ?) (casa, home) (casa ?, home ?) (?, ?) 10 phrases

# SMT, components

The translation model  $P(f|e)$

## Intersection

	Quan	tornes	a	casa	?
When	■				
are					
you					
coming		■			
back					
home				■	
?					■

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# SMT, components

The translation model  $P(f|e)$

## Intersection

	Quan	tornes	a	casa	?
When	■				
are					
you					
coming		■			
back					
home				■	
?					■

(Quan, When) (Quan tornes, When are you coming) (Quan tornes a casa, When are you coming back home) (Quan tornes a casa ?, When are you coming back home ?) (tornes, coming) (tornes a casa, coming back home) (tornes a casa ?, coming back home ?) (casa, home) (casa ?, home ?) (?, ?) 10 phrases

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## Intersection

	Quan	tornes	a	casa	?
When	■				
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coming		■			
back					
home				■	
?					■

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The translation model  $P(f|e)$

## Intersection

	Quan	tornes	a	casa	?
When	■				
are					
you					
coming		■			
back					
home				■	
?					■

(Quan, When) (Quan tornes, When are you coming) (Quan tornes a casa, When are you coming back home) (Quan tornes a casa ?, When are you coming back home ?) (tornes, coming) (tornes a casa, coming back home) (tornes a casa ?, coming back home ?) (casa, home) (casa ?, home ?) (?, ?) 10 phrases

# SMT, components

The translation model  $P(f|e)$

## Intersection

	Quan	tornes	a	casa	?
When	■				
are					
you					
coming		■			
back					
home				■	
?					■

(Quan, When) (Quan tornes, When are you coming) (Quan tornes a casa, When are you coming back home) (Quan tornes a casa ?, When are you coming back home ?) (tornes, coming) (tornes a casa, coming back home) (tornes a casa ?, coming back home ?) (casa, home) (casa ?, home ?) (?, ?) 10 phrases

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## Intersection

	Quan	tornes	a	casa	?
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you					
coming		■			
back					
home				■	
?					■

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back					
home				■	
?					■

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The translation model  $P(f|e)$

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coming		■			
back					
home				■	
?					■

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# SMT, components

The translation model  $P(f|e)$

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	Quan	tornes	a	casa	?
When	■				
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you					
coming		■			
back					
home				■	
?					■

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# SMT, components

The translation model  $P(f|e)$

## Union

	Quan	tornes	a	casa	?
When					
are					
you					
coming					
back					
home					
?					

(Quan, When) (Quan tornes, When are) (Quan tornes, When are you coming) (Quan tornes, When are you coming back) (Quan tornes a casa, When are you coming back home) ... (tornes a casa ?, are you coming back home ?) (casa, home) (casa ?, home ?) (?, ?) 21 phrases

# SMT, components

The translation model  $P(f|e)$

## Union

	Quan	tornes	a	casa	?
When	■	■			
are	■	■			
you					
coming		■			
back		■			
home				■	
?					■

(Quan, When) (Quan tornes, When are) (Quan tornes, When are you coming) (Quan  
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# SMT, components

The translation model  $P(f|e)$

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	Quan	tornes	a	casa	?
When	■				
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you					
coming		■			
back		■			
home				■	
?					■

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# SMT, components

The translation model  $P(f|e)$

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	Quan	tornes	a	casa	?
When	■				
are		■			
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home				■	
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# SMT, components

The translation model  $P(f|e)$

## Phrase extraction

- The number of extracted phrases depends on the symmetrisation method.
  - ▶ Intersection: few precise phrases.
  - ▶ Union: lots of (less?) precise phrases.
- Usually, neither intersection nor union are used, but something in between.
  - ▶ Start from the intersection and add points belonging to the union according to heuristics.

# SMT, components

The translation model  $P(f|e)$

## Phrase extraction

- For each phrase-pair  $(f_i, e_i)$ ,  $P(f_i|e_i)$  is estimated by frequency counts in the parallel corpus.
- The set of possible phrase-pairs conforms the set of **translation options**.
- The set of phrase-pairs together with their probabilities conform the **translation table**.

# SMT, components

The translation model  $P(f|e)$

## Translation model: keep in mind

- Statistical TMs estimate the probability of a translation from a parallel aligned corpus.
- Its quality depends on the quality of the obtained word (phrase) alignments.
- Within an SMT system, it contributes to select semantically adequate sentences in the target language.



# SMT, components

## Decoder

### Decoder

$$T(f) = \hat{e} = \operatorname{argmax}_e P(e) P(f|e)$$

Responsible for the search in the space of possible translations.

Given a model (LM+TM+...), the decoder constructs the possible translations and looks for the most probable one.

In our context, one can find:

- Greedy decoders. Initial hypothesis (word by word translation) refined iteratively using hill-climbing heuristics.
- Beam search decoders.

# SMT, components

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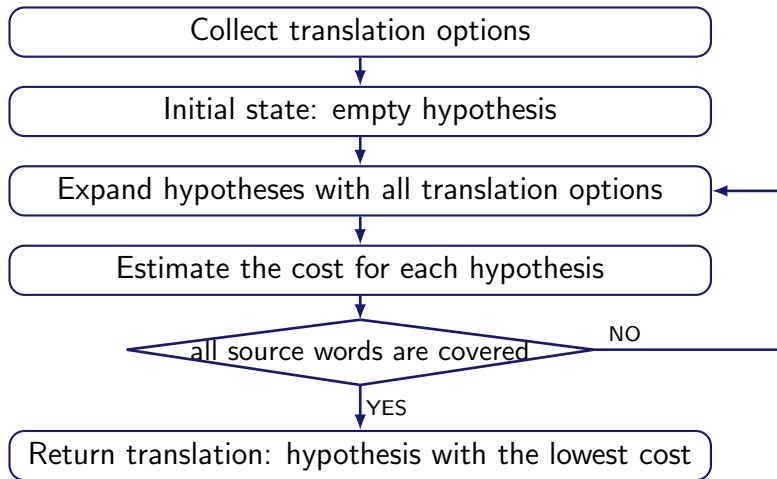
In our context, one can find:

- Greedy decoders. Initial hypothesis (word by word translation) refined iteratively using hill-climbing heuristics.
- Beam search decoders. **Let's see..**

# SMT, components

A beam-search decoder

## Core algorithm



# SMT, components

A beam-search decoder

Example: Quan tornes a casa

- Translation options:

(Quan, When)

(Quan tornes, When are you coming back)

(Quan tornes a casa, When are you coming back home)

(tornes, come back)

(tornes a casa, come back home)

(a casa, home)

# SMT, components

A beam-search decoder

Example: Quan tornes a casa

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(Quan, When)

(Quan tornes, When are you coming back)

(Quan tornes a casa, When are you coming back home)

(tornes, come back)

(tornes a casa, come back home)

(a casa, home)

- Notation for hypotheses in construction:

Constructed sentence so far:            come back

Source words already translated:        - x - -

# SMT, components

A beam-search decoder

Example: Quan **tornes** a casa

- Translation options:

(Quan, When)

(Quan tornes, When are you coming back)

(Quan tornes a casa, When are you coming back home)

(**tornes**, come back)

(tornes a casa, come back home)

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# SMT, components

A beam-search decoder

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(Quan, When)

(Quan tornes, When are you coming back)

(Quan tornes a casa, When are you coming back home)

(tornes, come back)

(tornes a casa, come back home)

(a casa, home)

- Initial hypothesis

Constructed sentence so far:

$\phi$

Source words already translated:

- - - -



# SMT, components

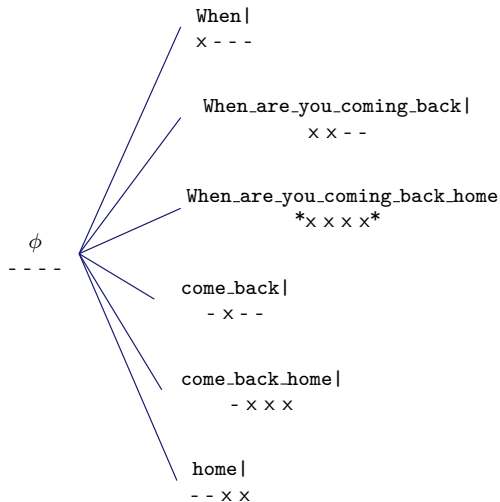
A beam-search decoder

$\phi$

-----

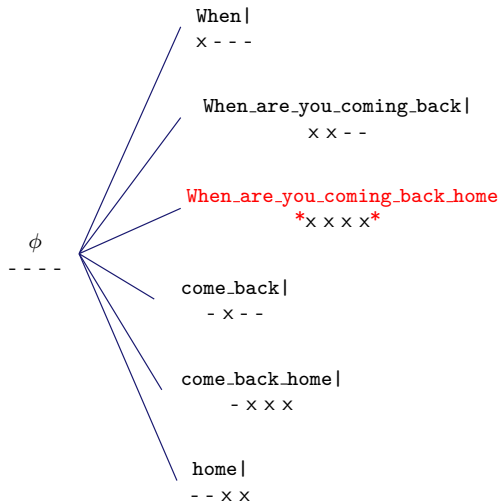
# SMT, components

## A beam-search decoder



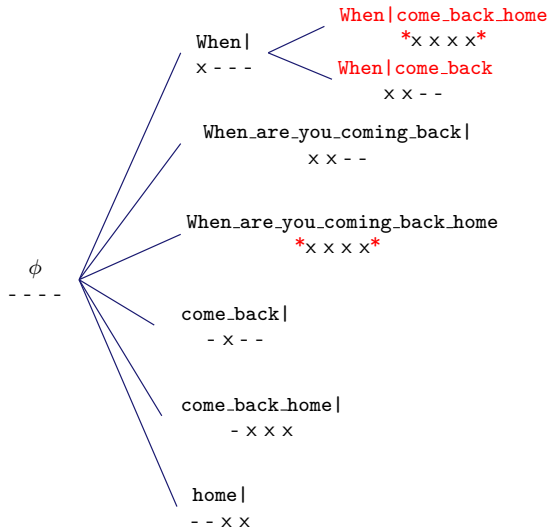
# SMT, components

## A beam-search decoder



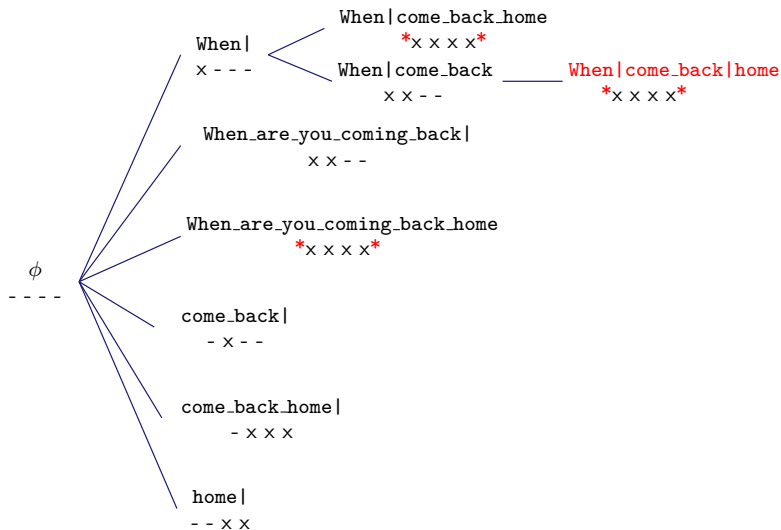
# SMT, components

## A beam-search decoder



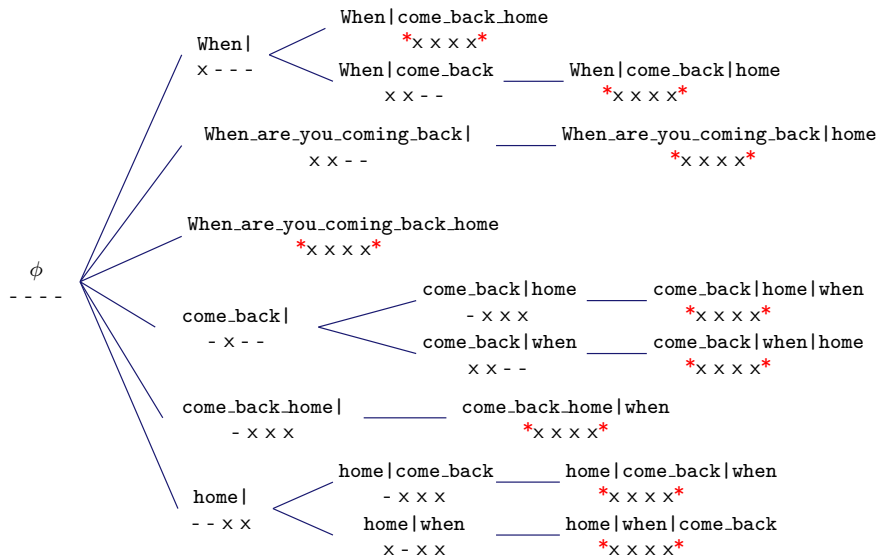
# SMT, components

## A beam-search decoder



# SMT, components

## A beam-search decoder



# SMT, components

## A beam-search decoder

### Exhaustive search

- As a result, one should have an estimation of the cost of each hypothesis, being the **lowest cost** one the best translation.

### But...

- The number of hypotheses is exponential with the number of source words.  
(30 words sentence  $\Rightarrow 2^{30} = 1,073,741,824$  hypotheses!)

### Solution

- Optimise the search by:
  - ▶ Hypotheses recombination
  - ▶ Beam search and pruning

# SMT, components

## A beam-search decoder

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# SMT, components

## A beam-search decoder

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### Solution

- Optimise the search by:
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  - ▶ Beam search and pruning







# SMT, components

A beam-search decoder

## Beam search and pruning (at last!)

Compare hypotheses with the same number of translated source words and prune out the inferior ones.

What is an inferior hypothesis?

- The quality of a hypothesis is given by the cost so far and by an estimation of the **future cost**.
- Future cost estimations are only approximate, so the pruning is **not risk-free**.

# SMT, components

A beam-search decoder

## Beam search and pruning (at last!)

### Strategy:

- Define a **beam size** (by threshold or number of hypotheses).
- **Distribute** the hypotheses being generated **in stacks** according to the number of translated source words, for instance.
- **Prune out** the hypotheses falling outside the beam.
- The hypotheses to be pruned are those with a **higher** (current + future) cost.

# SMT, components

## Decoder

### Decoding: keep in mind

- Standard SMT decoders translate the sentences from left to right by expanding hypotheses.
- Beam search decoding is one of the most efficient approach.
- But, the search is only approximate, so, the best translation can be lost if one restricts the search space too much.

# Outline

- 1 Introduction
- 2 Basics
- 3 Components
- 4 The log-linear model**
- 5 Beyond standard SMT



# SMT, the log-linear model

## Motivation

### Maximum likelihood (ML)

$$\hat{e} = \operatorname{argmax}_e P(e|f) = \operatorname{argmax}_e P(e) P(f|e)$$

### Maximum entropy (ME)

$$\hat{e} = \operatorname{argmax}_e P(e|f) = \operatorname{argmax}_e \exp \left\{ \sum \lambda_m h_m(f, e) \right\}$$

$$\hat{e} = \operatorname{argmax}_e \log P(e|f) = \operatorname{argmax}_e \sum \lambda_m h_m(f, e)$$

Log-linear model

# SMT, the log-linear model

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Log-linear model

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Log-linear model

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### Maximum entropy (ME)

$$\hat{e} = \operatorname{argmax}_e \log P(e|f) = \operatorname{argmax}_e \sum \lambda_m h_m(f, e)$$

Log-linear model with

$$h_1(f, e) = \log P(e), \quad h_2(f, e) = \log P(f|e), \quad \text{and } \lambda_1 = \lambda_2 = 1$$

⇒ Maximum likelihood model

# SMT, the log-linear model

## Motivation

### **What can be achieved with the log-linear model** (as compared to maximum likelihood model)

- Extra **features**  $h_m$  can be easily added...
- ... but their **weight**  $\lambda_m$  must be somehow determined.
- Different knowledge sources can be used.

# SMT, the log-linear model

## Features

### State of the art feature functions

Eight features are usually used:  $P(e)$ ,  $P(f|e)$ ,  $P(e|f)$ ,  $lex(f|e)$ ,  $lex(e|f)$ ,  $ph(e)$ ,  $w(e)$  and  $P_d(e, f)$ .

- Language model  $P(e)$   
 $P(e)$ : Language model probability as in ML model.
- Translation model  $P(f|e)$   
 $P(f|e)$ : Translation model probability as in ML model.
- Translation model  $P(e|f)$   
 $P(e|f)$ : Inverse translation model probability to be added to the generative one.

# SMT, the log-linear model

## Features

### State of the art feature functions

Eight features are usually used:  $P(e)$ ,  $P(f|e)$ ,  $P(e|f)$ ,  $lex(f|e)$ ,  $lex(e|f)$ ,  $ph(e)$ ,  $w(e)$  and  $P_d(e, f)$ .

- Translation model  $lex(f|e)$   
 $lex(f|e)$ : Lexical translation model probability.
- Translation model  $lex(e|f)$   
 $lex(e|f)$ : Inverse lexical translation model probability.
- Phrase penalty  $ph(e)$   
 $ph(e)$ : A constant cost per produced phrase.

# SMT, the log-linear model

## Features

### State of the art feature functions

Eight features are usually used:  $P(e)$ ,  $P(f|e)$ ,  $P(e|f)$ ,  $lex(f|e)$ ,  $lex(e|f)$ ,  $ph(e)$ ,  $w(e)$  and  $P_d(e, f)$ .

- Word penalty  $w(e)$   
 $w(e)$ : A constant cost per produced word.
- Distortion  $P_d(e, f)$   
 $P_d(\text{ini}_{\text{phrase}_i}, \text{end}_{\text{phrase}_{i-1}})$ : Relative distortion probability distribution. A simple distortion model:  
$$P_d(\text{ini}_{\text{phrase}_i}, \text{end}_{\text{phrase}_{i-1}}) = \alpha |\text{ini}_{\text{phrase}_i} - \text{end}_{\text{phrase}_{i-1}} - 1|$$



# SMT, the log-linear model

Digression: lexicalised reordering or distortion

## State of the art?

Software such as Moses makes easy the incorporation of more sophisticated reordering.

From a **distance-based** reordering  
(1 feature)

to include orientation information  
in a **lexicalised** reordering.  
(3-6 features)

# SMT, the log-linear model

Digression: lexicalised reordering or distortion

From where and how can one learn reorders?

	Quan	tornes	tu	a	casa	?
When						
are						
you						
coming						
back						
home						
?						

(are, tornes, **monotone**)

# SMT, the log-linear model

Digression: lexicalised reordering or distortion

From where and how can one learn reorders?

	Quan	tornes	tu	a	casa	?
When	■					
are		■				
you			■			
coming		■				
back						
home					■	
?						■

(coming back, tornes, *swap*)

# SMT, the log-linear model

Digression: lexicalised reordering or distortion

From where and how can one learn reorders?

	Quan	tornes	tu	a	casa	?
When						
are						
you						
coming						
back				X		
home						
?						

(home ?, casa ?, discontinuous)

# SMT, the log-linear model

Digression: lexicalised reordering or distortion

3 new features estimated by frequency counts:

$P_{\text{monotone}}$ ,  $P_{\text{swap}}$  and  $P_{\text{discontinuous}}$  (6 when bidirectional).

$$P_{or.}(\text{orientation} | f, e) = \frac{\text{count}(\text{orientation}, e, f)}{\sum_{or.} \text{count}(\text{orientation}, e, f)}$$

- Sparse statistics of the orientation types  $\rightarrow$  smoothing.
- Several variations.

# SMT, the log-linear model

## Features

### State of the art feature functions

13 features may be used:

- $P(e)$ ;
- $P(f|e)$ ,  $P(e|f)$ ,  $lex(f|e)$ ,  $lex(e|f)$ ;
- $ph(e)$ ,  $w(e)$ ;
- $P_{mon}(o|e, f)$ ,  $P_{swap}(o|e, f)$ ,  $P_{dis}(o|e, f)$ ,
- $P_{mon}(o|f, e)$ ,  $P_{swap}(o|f, e)$ ,  $P_{dis}(o|f, e)$ .

# SMT, the log-linear model

## Weights optimisation

### Development training, weights optimisation

- Supervised training: a (small) aligned parallel corpus is used to determine the optimal weights.

$$\hat{e} = \operatorname{argmax}_e \log P(e|f) = \operatorname{argmax}_e \sum \lambda_m h_m(f, e)$$

# SMT, the log-linear model

## Weights optimisation

### Development training, weights optimisation

#### Strategies

- **Generative training.** Optimises ME objective function which has a unique optimum. Maximises the likelihood.
- **Discriminative training** only for feature weights (not models), or purely discriminative for the model as a whole. This way translation performance can be optimised.
- Minimum Error-Rate Training (MERT).



# SMT, the log-linear model

## Weights optimisation

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- **Minimum Error-Rate Training (MERT).**

# SMT, the log-linear model

## Minimum Error-Rate Training (MERT)

### Minimum Error-Rate Training

- Approach: Minimise an error function.

But... what's the error of a translation?

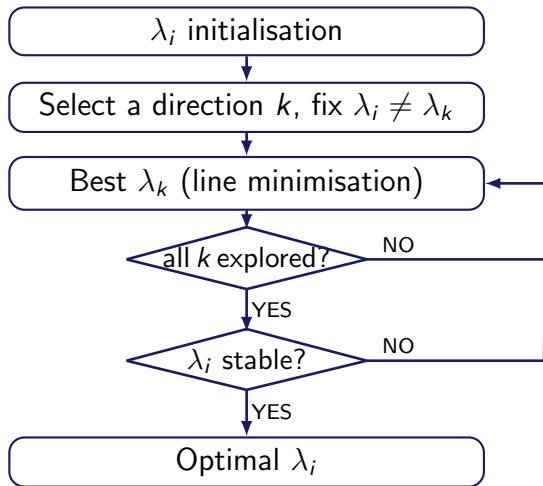
- There exist several error measures or metrics.
- Metrics not always correlate with human judgements.
- The quality of the final translation on the metric chosen for the optimisation is shown to improve.
- For the moment, let's say we use BLEU.

(More on MT Evaluation section)

# SMT, the log-linear model

## Minimum Error-Rate Training (MERT)

### Minimum Error-Rate Training rough algorithm



# SMT, the log-linear model

## The log-linear model

### Log-linear model: keep in mind

- The log-linear model allows to include several weighted features. State of the art systems use 8 real features.
- The corresponding weights are optimised on a development set, a small aligned parallel corpus.
- An optimisation algorithm such as MERT is appropriate for at most a dozen of features. For more features, purely discriminative learnings should be used.
- For MERT, the choice of the metric that quantifies the error in the translation is an issue.

# Outline

- 1 Introduction
- 2 Basics
- 3 Components
- 4 The log-linear model
- 5 Beyond standard SMT**
  - Factored translation models
  - Syntactic translation models
  - Ongoing research

# SMT, beyond standard SMT

Including linguistic information

## Considering linguistic information in phrase-based models

- Phrase-based log-linear models do not consider linguistic information other than words. This information should be included.

### Options

- Use syntactic information as pre- or post-process (for reordering or reranking for example).
- Include linguistic information in the model itself.
  - ▶ Factored translation models.
  - ▶ Syntactic-based translation models.

# SMT, beyond standard SMT

## Factored translation models

### **Factored translation models**

Extension to phrase-based models where every word is substituted by a vector of factors.

$$(\text{word}) \implies (\text{word}, \text{lemma}, \text{PoS}, \text{morphology}, \dots)$$

The translation is now a combination of pure translation (T) and generation (G) steps:

# SMT, beyond standard SMT

## Factored translation models

### Factored translation models

Extension to phrase-based models where every word is substituted by a vector of factors.

$$(\text{word}) \implies (\text{word}, \text{lemma}, \text{PoS}, \text{morphology}, \dots)$$

The translation is now a combination of pure **translation** (T) and **generation** (G) steps:

$\text{lemma}_f$	$\text{PoS}_f$	$\text{morphology}_f$		$\text{word}_f$
$\downarrow T$	$\downarrow T$	$\downarrow T$		
$\text{lemma}_e$	$\text{PoS}_e$	$\text{morphology}_e$	$\xrightarrow{G}$	$\text{word}_e$



# SMT, beyond standard SMT

## Factored translation models

### Factored translation models

Extension to phrase-based models where every word is substituted by a vector of factors.

(word)  $\implies$  (word, lemma, PoS, morphology, ...)

The translation is now a combination of pure **translation** (T) and **generation** (G) steps:

$\text{casa}_f$	$\text{NN}_f$	$\text{fem., plural}_f$	$\text{cases}_f$
$\downarrow T$	$\downarrow T$	$\downarrow T$	
$\text{house}_e$	$\text{NN}_e$	$\text{plural}_e$	$\xrightarrow{G} \text{houses}_e$

# SMT, beyond standard SMT

## Factored translation models

### **What differs in factored translation models**

(as compared to standard phrase-based models)

- The parallel corpus must be **annotated** beforehand.
- Extra **language models** for every factor can also be used.
- **Translation** steps are accomplished in a similar way.
- **Generation** steps imply a training only on the target side of the corpus.
- Models corresponding to the different factors and components are combined in a **log-linear** fashion.

# SMT, beyond standard SMT

## Syntactic translation models

### **Syntactic translation models**

Incorporate syntax to the source and/or target languages.

### Approaches

- Syntactic phrase-based based on tree trasducers:
  - ▶ **Tree-to-string**. Build mappings from target parse trees to source strings.
  - ▶ **String-to-tree**. Build mappings from target strings to source parse trees.
  - ▶ **Tree-to-tree**. Mappings from parse trees to parse trees.

# SMT, beyond standard SMT

## Syntactic translation models

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### Approaches

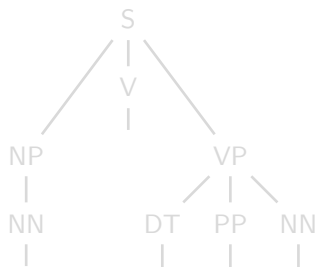
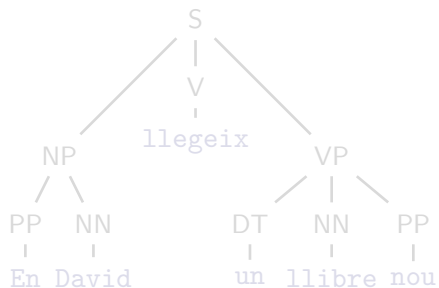
- Synchronous grammar formalism which learns a grammar that can simultaneously generate both trees.
  - ▶ **Syntax-based.** Respect linguistic units in translation.
  - ▶ **Hierarchical phrase-based.** Respect phrases in translation.

# SMT, beyond standard SMT

Syntax-based translation models

Syntactic models ease reordering. An intuitive example:

En David llegeix un llibre nou

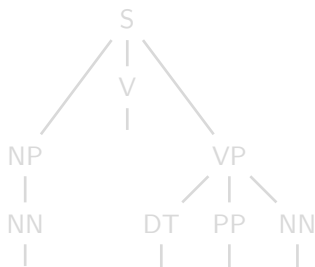
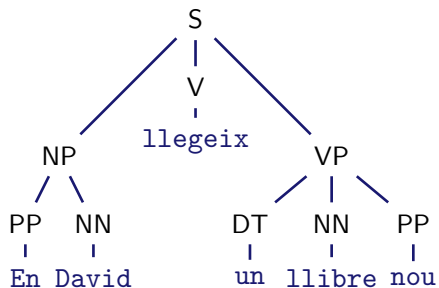


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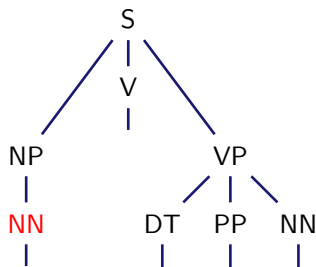
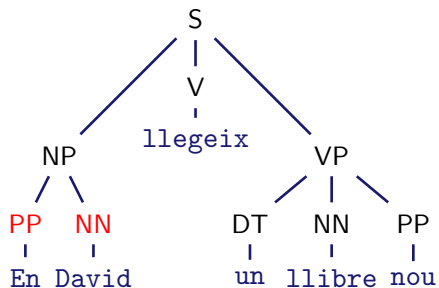


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Syntax-based translation models

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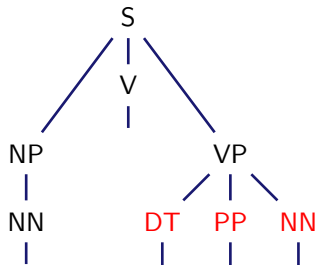
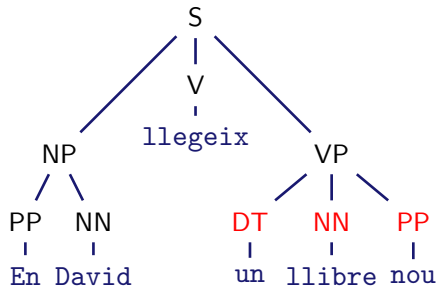


# SMT, beyond standard SMT

Syntax-based translation models

Syntactic models ease reordering. An intuitive example:

En David llegeix un llibre nou



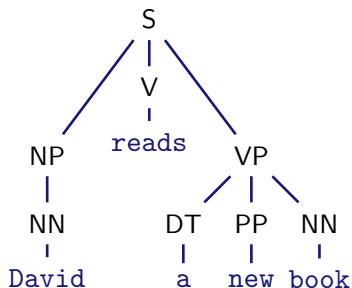
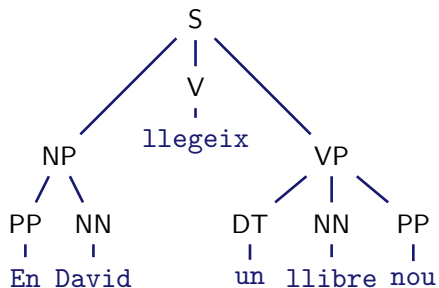


# SMT, beyond standard SMT

Syntax-based translation models

Syntactic models ease reordering. An intuitive example:

En David llegeix un llibre nou



David reads a new book

# SMT, beyond standard SMT

Ongoing research

## Hot research topics

Current research on SMT addresses known and new problems.

Some **components** of the standard phrase-based model are still under study:

- Automatic alignments.
- Language models and smoothing techniques.
- Parameter optimisation.

# SMT, beyond standard SMT

Ongoing research

Complements to a standard system can be added:

- Reordering as a pre-process or post-process.
- Reranking of n-best lists.
- OOV treatment.
- Domain adaptation.

# SMT, beyond standard SMT

Ongoing research

Development of full **systems** from scratch or modifications to the standard:

- Using machine learning.
- Including linguistic information.
- Hybridation of MT paradigms.

Or a different **strategy**:

- Systems combination.

# SMT, beyond standard SMT

Including linguistic information

## Beyond standard SMT: keep in mind

- Factored models include linguistic information in phrase-based models and are suitable for morphologically rich languages.
- Syntactic models consider somehow syntax and are adequate for language pairs with a different structure of the sentences.
- Current research addresses both new models and modifications to the existing ones.

## Part II

### SMT experiments

- 6 Translation system
  - Demos
  - Software
  - Steps

# SMT system

Demo: <http://demo.statmt.org/>

Moses Online MT Demo - Mozilla Firefox

Fitxer Edita Visualitza Historial Adreces d'interès Eines Ajuda

http://demo.statmt.org/index.php

Disable Cookies CSS Forms Images Information Miscellaneous Outline Resize Tools View Source Options

Moses Online MT Demo

## Moses Machine Translation Demo

**Source:**

Try any example to translate.

English->German  Show Debug Output  Show Alignment

Translate

Looking to translate a web page? Then click [here](#)


**Translation:**

Versuchen Sie ein Beispiel zu übersetzen.

**Help to improve statistical machine translation!**

Versuchen Sie ein Beispiel zu übersetzen.

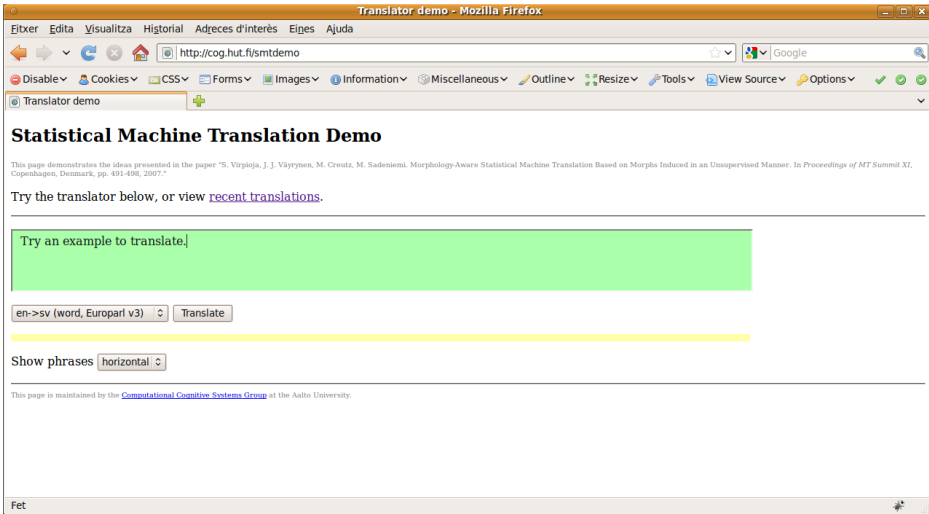
Fet





# SMT system

Demo: <http://cog.hut.fi/smtdemo>



The screenshot shows a Mozilla Firefox browser window titled "Translator demo - Mozilla Firefox". The address bar contains "http://cog.hut.fi/smtdemo". The page content includes a menu bar with options like "Fitxer", "Edita", "Visualitza", "Historial", "Adreces d'interès", "Eines", and "Ajuda". Below the menu bar is a toolbar with various icons for navigation and actions. The main content area features the heading "Statistical Machine Translation Demo" and a paragraph of text: "This page demonstrates the ideas presented in the paper 'S. Virpioja, J. J. Viikrynen, M. Creutz, M. Sadeniemi. Morphology-Aware Statistical Machine Translation Based on Morphs Induced in an Unsupervised Manner. In Proceedings of MT Summit XI, Copenhagen, Denmark, pp. 491-498, 2007.'" Below this text is a prompt "Try the translator below, or view [recent translations](#)." followed by a large green text input field containing the text "Try an example to translate." Below the input field is a dropdown menu showing "en->sv (word, Europarl v3)" and a "Translate" button. Below the button is a yellow horizontal line. At the bottom of the page, there is a "Show phrases" label with a dropdown menu set to "horizontal". The footer of the page contains the text "This page is maintained by the [Computational Cognitive Systems Group](#) at the Aalto University." and the name "Fet" in the bottom left corner.

Translator demo - Mozilla Firefox

Fitxer Edita Visualitza Historial Adreces d'interès Eines Ajuda

http://cog.hut.fi/smtdemo

Google

Disable Cookies CSS Forms Images Information Miscellaneous Outline Resize Tools View Source Options

Translator demo

## Statistical Machine Translation Demo

This page demonstrates the ideas presented in the paper "S. Virpioja, J. J. Viikrynen, M. Creutz, M. Sadeniemi. Morphology-Aware Statistical Machine Translation Based on Morphs Induced in an Unsupervised Manner. In Proceedings of MT Summit XI, Copenhagen, Denmark, pp. 491-498, 2007."

Try the translator below, or view [recent translations](#).

Try an example to translate.

en->sv (word, Europarl v3) Translate

Show phrases horizontal

This page is maintained by the [Computational Cognitive Systems Group](#) at the Aalto University.

Fet

## Build your own SMT system

- 1 Language model with SRILM.  
<http://www-speech.sri.com/projects/srilm/download.htm>
- 2 Word alignments with GIZA++.  
<http://code.google.com/p/giza-pp/downloads/list>
- 3 And everything else with the Moses package.  
<http://sourceforge.net/projects/mosesdecoder>

### 1. Download and prepare your data

- ① Parallel corpora and some tools can be downloaded for instance from the WMT 2010 web page:  
<http://www.statmt.org/wmt10/translation-task.html>

How to construct a baseline system is also explained there:  
<http://www.statmt.org/wmt10/baseline.html>

We continue with the Europarl corpus Spanish-to-English.

### 1. Download and prepare your data (cont'd)

- 2 Tokenise the corpus with WMT10 scripts.  
(training corpus and development set for MERT)

```
wmt10scripts/tokenizer.perl -l es < eurov4.es-en.NOTOK.es >  
eurov4.es-en.TOK.es
```

```
wmt10scripts/tokenizer.perl -l en < eurov4.es-en.NOTOK.en >  
eurov4.es-en.TOK.en
```

```
wmt10scripts/tokenizer.perl -l es < eurov4.es-en.NOTOK.dev.es >  
eurov4.es-en.TOK.dev.es
```

```
wmt10scripts/tokenizer.perl -l en < eurov4.es-en.NOTOK.dev.en >  
eurov4.es-en.TOK.dev.en
```

### 1. Download and prepare your data (cont'd)

- 3 Filter out long sentences with Moses scripts.  
(Important for GIZA++)

```
bin/moses-scripts/training/clean-corpus-n.perl eurov4.es-en.TOK es
en eurov4.es-en.TOK.clean 1 100
```

- 4 Lowercase training and development with WMT10 scripts.  
(Optional but recommended)

```
wmt10scripts/lowercase.perl < eurov4.es-en.TOK.clean.es >
eurov4.es-en.es
wmt10scripts/lowercase.perl < eurov4.es-en.TOK.clean.en >
eurov4.es-en.en
```

## 2. Build the language model

- 1 Run SRILM on the English part of the parallel corpus or on a monolingual larger one.  
(tokenise and lowercase in case it is not)

```
ngram-count -order 5 -interpolate -kndiscount -text  
eurov4.es-en.en -lm eurov4.en.lm
```

### 3. Train the translation model

- 1 Use the Moses script `train-factored-phrase-model.perl`  
This script performs the whole training:

```
cristina@cosmos:~$ train-factored-phrase-model.perl -help
Train Phrase Model

Steps:  (--first-step to --last-step)
(1) prepare corpus
(2) run GIZA
(3) align words
(4) learn lexical translation
(5) extract phrases
(6) score phrases
(7) learn reordering model
(8) learn generation model
(9) create decoder config file
```

### 3. Train the translation model (cont'd)

- 1 So, it takes a few arguments (and a few time!):

```
bin/moses-scripts/training/train-factored-phrase-model.perl  
-scripts-root-dir bin/moses-scripts/ -root-dir working-dir -corpus  
eurov4.es-en -f es -e en -alignment grow-diag-final-and -reordering  
msd-bidirectional-fe -lm 0:5:eurov4.en.lm:0
```

It generates a configuration file `moses.ini` needed to run the decoder where all the necessary files are specified.



### 4. Tuning of parameters with MERT

- 1 Run the Moses script `mert-moses.pl`  
(Another slow step!)

```
bin/moses-scripts/training/mert-moses.pl eurov4.es-en.dev.es
eurov4.es-en.dev.en moses/moses-cmd/src/moses ./model/moses.ini
--working-dir ./tuning --rootdir bin/moses-scripts/
```

- 2 Insert weights into configuration file with WMT10 script:

```
wmt10scripts/reuse-weights.perl ./tuning/moses.ini <
./model/moses.ini > moses.weight-reused.ini
```

### 5. Run Moses decoder on a test set

- 1 Tokenise and lowercase the test set as before.
- 2 Filter the model with Moses script.  
(mandatory for large translation tables)

```
bin/ Moses-scripts/training/filter-model-given-input.pl  
./filteredmodel Moses.weight-reused.ini testset.es
```

- 3 Run the decoder:

```
Moses/Moses-cmd/src/Moses -f ./filteredmodel/Moses.ini <  
testset.es > testset.translated.en
```

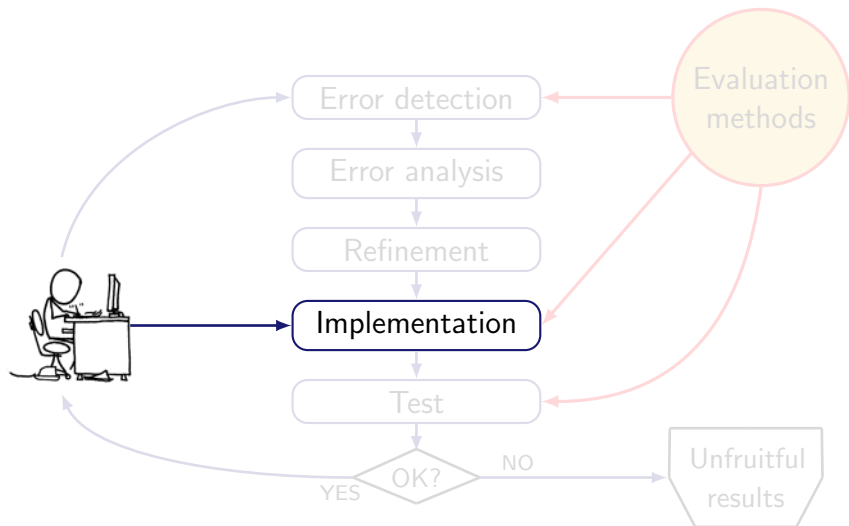
## Part III

# Machine Translation Evaluation

- 7 MT Evaluation basics
  - Automatic Evaluation
  - BLEU
  - Limits of lexical similarity
- 8 Evaluation system

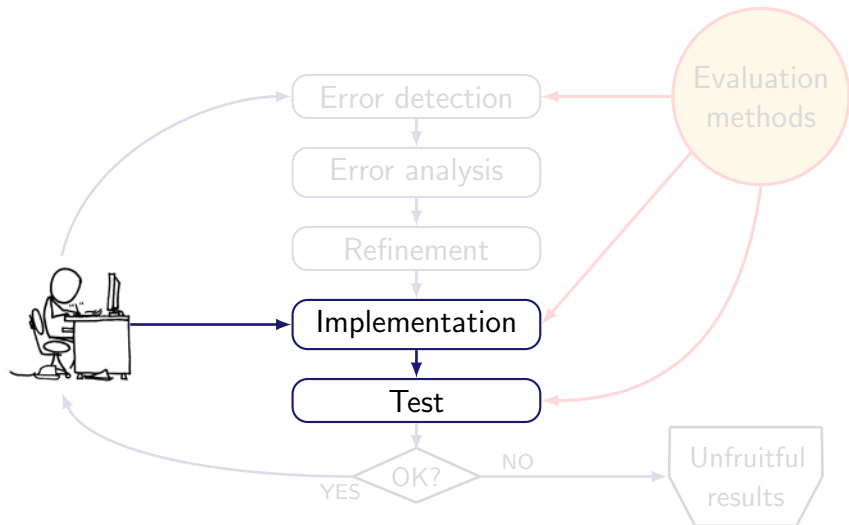
# MT Evaluation

Importance for system development



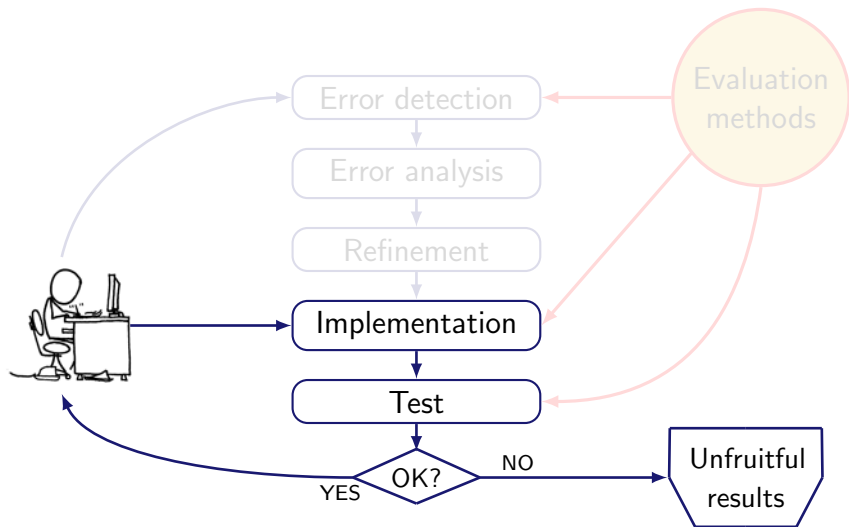
# MT Evaluation

Importance for system development



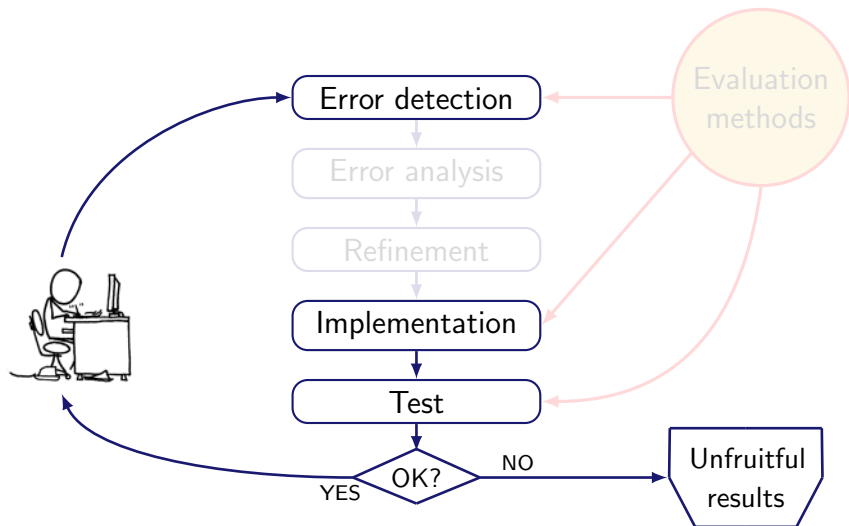
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Importance for system development



# MT Evaluation

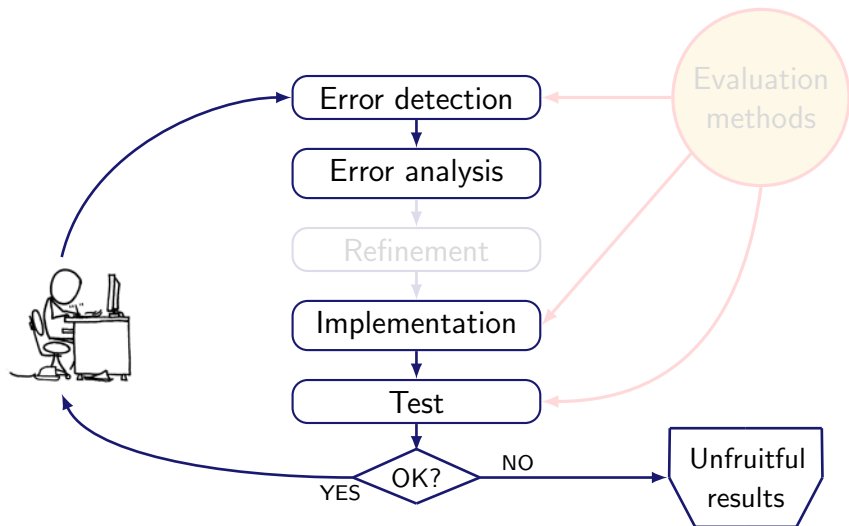
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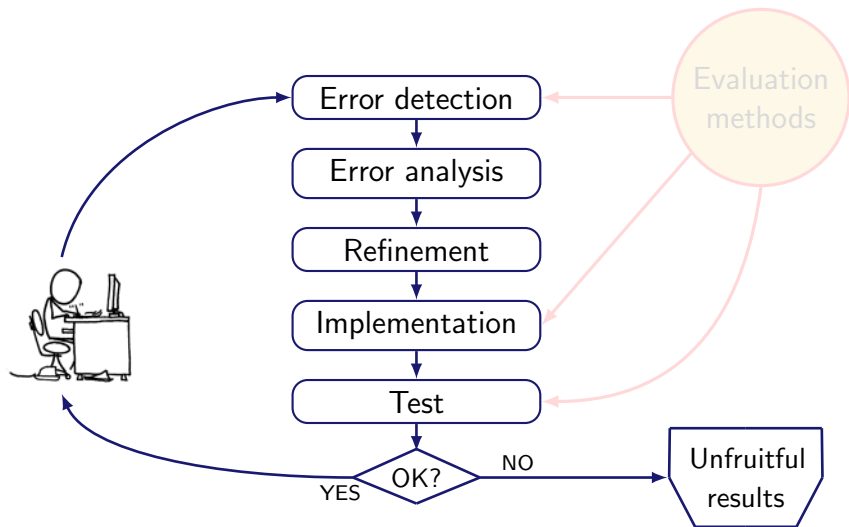
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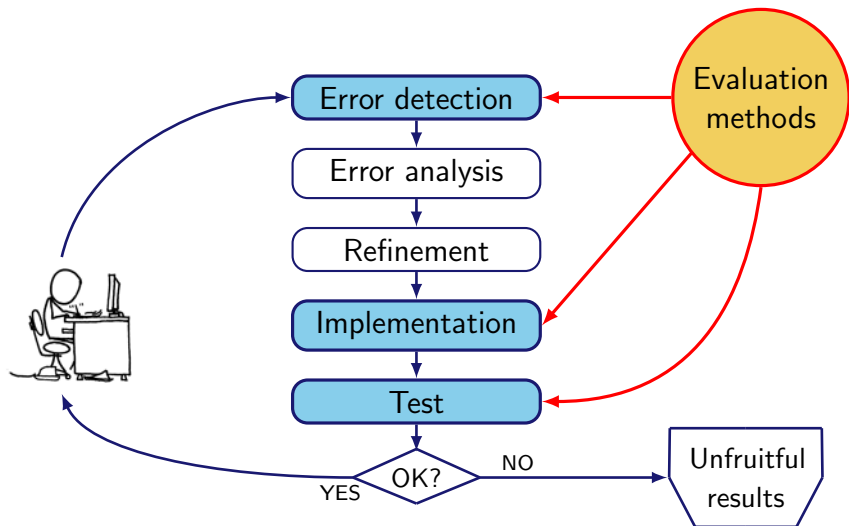
# MT Evaluation

Importance for system development



# MT Evaluation

Importance for system development



# MT Evaluation

## Automatic evaluation

### **What can be achieved with automatic evaluation**

(as compared to manual evaluation)

- Automatic metrics notably accelerate the development cycle of MT systems:
  - ▶ Error analysis
  - ▶ System optimisation
  - ▶ System comparison

Besides, they are

- Costless (vs. costly)
- Objective (vs. subjective)
- Reusable (vs. non-reusable)

# MT Evaluation

## Lexical similarity

### **Metrics based on lexical similarity** (most of the metrics!)

- **Edit Distance:** WER, PER, TER
- **Precision:** BLEU, NIST, WNM
- **Recall:** ROUGE, CDER
- **Precision/Recall:** GTM, METEOR, BLANC, SIA

# MT Evaluation

## Lexical similarity

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- Edit Distance: WER, PER, TER
- Precision: BLEU, NIST, WNM
- Recall: ROUGE, CDER
- Precision/Recall: GTM, METEOR, BLANC, SIA

Nowadays, BLEU is accepted as *the standard* metric.

# MT Evaluation

IBM BLEU metric

## BLEU: a Method for Automatic Evaluation of Machine Translation

Kishore Papineni, Salim Roukos, Todd Ward, Wei-Jing Zhu  
IBM Research Division

“The main idea is to use a weighted average of variable length phrase matches against the reference translations. This view gives rise to a family of metrics using various weighting schemes. We have selected a promising baseline metric from this family.”

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

Candidate 1:

It is a guide to action which ensures that the military always obeys the commands of the party.

Candidate 2:

It is to insure the troops forever hearing the activity guidebook that party direct.



# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

Candidate 1:

It is a guide to action which ensures that the military always obeys the commands of the party.

Reference 1:

It is a guide to action that ensures that the military will forever heed Party commands.

Reference 2:

It is the guiding principle which guarantees the military forces always being under the command of the Party.

Reference 3:

It is the practical guide for the army always to heed the directions of the party.

# MT Evaluation

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# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

Candidate 2:

It is to insure the troops forever hearing the activity  
guidebook that party direct.

Reference 1:

It is a guide to action that ensures that the military  
will forever heed Party commands.

Reference 2:

It is the guiding principle which guarantees the military  
forces always being under the command of the Party.

Reference 3:

It is the practical guide for the army always to heed the  
directions of the party.

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

Precision-based measure, but:

Candidate:

The the the the the the.

Reference 1:

The cat is on the mat.

Reference 2:

There is a cat on the mat.

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

Precision-based measure, but:  $\text{Prec.} = \frac{1 +}{7}$

Candidate:

The the the the the the the.

Reference 1:

The cat is on the mat.

Reference 2:

There is a cat on the mat.

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

Precision-based measure, but:  $\text{Prec.} = \frac{2+}{7}$

Candidate:

The the the the the the the.

Reference 1:

The cat is on the mat.

Reference 2:

There is a cat on the mat.

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

Precision-based measure, but:  $\text{Prec.} = \frac{3+}{7}$

Candidate:

The the the the the the the.

Reference 1:

The cat is on the mat.

Reference 2:

There is a cat on the mat.

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

Precision-based measure, but:  $\text{Prec.} = \frac{4 +}{7}$

Candidate:

The the the the the the the.

Reference 1:

The cat is on the mat.

Reference 2:

There is a cat on the mat.



# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

Precision-based measure, but:  $\text{Prec.} = \frac{5 +}{7}$

Candidate:

The the the the the the the.

Reference 1:

The cat is on the mat.

Reference 2:

There is a cat on the mat.

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

Precision-based measure, but:  $\text{Prec.} = \frac{6+}{7}$

Candidate:

The the the the the the the.

Reference 1:

The cat is on the mat.

Reference 2:

There is a cat on the mat.

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

Precision-based measure, but:  $\text{Prec.} = \frac{7}{7}$

Candidate:

The the the the the the the.

Reference 1:

The cat is on the mat.

Reference 2:

There is a cat on the mat.

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

A reference word should only be matched once.

Algorithm:

- 1 Count number of times  $w_i$  occurs in each reference.
- 2 Keep the minimum between the maximum of (1) and the number of times  $w_i$  appears in the candidate (*clipping*).
- 3 Add these values and divide by candidate's number of words.

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

Modified 1-gram precision:

Candidate:

The the the the the the the.

Reference 1:

The cat is on the mat.

Reference 2:

There is a cat on the mat.

- 1  $w_i \rightarrow$  The  
 $\#_{w_i, R1} = 2$   
 $\#_{w_i, R2} = 1$
- 2  $\text{Max}_{(1)} = 2, \#_{w_i, C} = 7$   
 $\Rightarrow \text{Min} = 2$
- 3 No more distinct words

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

Modified 1-gram precision:  $P_1 =$

Candidate:

The the the the the the.

Reference 1:

The cat is on the mat.

Reference 2:

There is a cat on the mat.

- 1  $w_i \rightarrow$  The  
 $\#w_{i,R1} = 2$   
 $\#w_{i,R2} = 1$
- 2  $\text{Max}_{(1)}=2, \#w_{i,C} = 7$   
 $\Rightarrow \text{Min}=2$
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# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

Modified 1-gram precision:  $P_1 = \frac{2}{-}$

Candidate:

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Reference 1:

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- 1  $w_i \rightarrow$  The  
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# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision (1-gram)

Modified 1-gram precision:  $P_1 = \frac{2}{7}$

Candidate:

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Reference 1:

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# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Modified n-gram precision

- Straightforward generalisation to  $n$ -grams,  $P_n$ .
- Generalisation to multiple sentences:

$$P_n = \frac{\sum_{C \in \{\text{candidates}\}} \sum_{n\text{gram} \in C} \text{Count}_{\text{clipped}}(n\text{gram})}{\sum_{C \in \{\text{candidates}\}} \sum_{n\text{gram} \in C} \text{Count}(n\text{gram})}$$

low  $n$   
adequacy

high  $n$   
fluency

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Brevity penalty

Candidate:

of the

Reference 1:

It is a guide to action that ensures that the military will forever heed Party commands.

Reference 2:

It is the guiding principle which guarantees the military forces always being under the command of the Party.

Reference 3:

It is the practical guide for the army always to heed the directions of the party.

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Brevity penalty

Candidate:

of the

$$P_1 = 2/2, P_2 = 1/1$$

Reference 1:

It is a guide to action that ensures that the military will forever heed Party commands.

Reference 2:

It is the guiding principle which guarantees the military forces always being under the command of the Party.

Reference 3:

It is the practical guide for the army always to heed the directions of the party.

# MT Evaluation

IBM BLEU: Papineni, Roukos, Ward and Zhu [2001]

## Brevity penalty

$$\text{BP} = \begin{cases} 1 & \text{if } c > r \\ e^{1-r/c} & \text{if } c \leq r \end{cases}$$

$c$  candidate length,  $r$  reference length

- Multiplicative factor.
- At sentence level, huge punishment for short sentences.
- Estimated at document level.

## BiLingual Evaluation Understudy, BLEU

$$\text{BLEU} = \text{BP} \cdot \exp \left( \sum_{n=1}^N w_n \log P_n \right)$$

- Geometric average of  $P_n$  (empirical suggestion).
- $w_n$  positive weights summing to one.
- Brevity penalty.

## Paper's Conclusions

- BLEU correlates with human judgements.
- It can distinguish among similar systems.
- Need for multiple references or a big test with heterogeneous references.
- More parametrisation in the future.

# MT Evaluation

IBM BLEU vs. NIST BLEU vs. ...

## Watch out with BLEU implementations!

There are several widely used implementations of BLEU.

(Moses `multi-bleu.perl` script, NIST `mteval-vXX.pl` script, etc.)

Results **differ** because of:

- Different tokenisation approach.
- Different definition of *closest reference* in the brevity penalty estimation.

# MT Evaluation

## NIST metric

**NIST** is based on BLEU but:

- Arithmetic average of  $n$ -gram counts rather than a geometric average.
- Informative  $n$ -grams are given more weight.
- Different definition of brevity penalty.



# MT Evaluation

## Lexical similarity

### Limits of lexical similarity

The reliability of lexical metrics depends very strongly on the heterogeneity/representativity of reference translations.

e: This sentence is going to be difficult to evaluate.

Ref1: The evaluation of the translation is complicated.

Ref2: The sentence will be hard to qualify.

Ref3: The translation is going to be hard to evaluate.

Ref4: It will be difficult to punctuate the output.

Lexical similarity is neither a sufficient nor a necessary condition so that two sentences convey the same meaning.

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# MT Evaluation

Ongoing research

**Recent efforts** to go over lexical similarity

Extend the reference material:

- Using **lexical variants** such as morphological variations or synonymy lookup or using **paraphrasing** support.

Compare other **linguistic features** than words:

- Syntactic similarity: shallow parsing, full parsing (constituents /dependencies).
- Semantic similarity: named entities, semantic roles, discourse representations.

Combination of the existing metrics.

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Ongoing research

Much more on the topic in Lluís' seminar:

**MT Evaluation**

# MT Evaluation

## Summary

### MT Evaluation: keep in mind

- Evaluation is important in the system development cycle. Automatic evaluation accelerates significantly the process.
- Up to now, most (common) metrics rely on lexical similarity, but it cannot assure a correct evaluation.
- Current work is being devoted to go beyond lexical similarity.



7 MT Evaluation basics

8 Evaluation system

- Software
- Steps
- Demo

## Evaluate the results

- 1 With BLEU scoring tool. Available as a Moses script or from NIST:  
<http://www.itl.nist.gov/iad/mig/tools/mtevalv13a-20091001.tar.gz>
- 2 With IQmt package.  
<http://www.lsi.upc.edu/~nlp/IQMT/>

# MT Evaluation

## Steps

### 1. Evaluate the results

- 1 With BLEU scoring tool in Moses:

```
moses/scripts/generic/multi-bleu.perl references.en <  
testset.translated.en
```

### 2. Evaluate the results on-line

- 1 OpenMT Evaluation Demo

<http://biniki.lsi.upc.edu/openMT/evaldemo.php>

# MT Evaluation

Demo: <http://biniki.lsi.upc.edu/openMT/evaldemo.php>

The screenshot shows a Mozilla Firefox browser window titled "OpenMT Evaluation Demo - Mozilla Firefox". The address bar contains the URL "http://biniki.lsi.upc.edu/openMT/evaldemo.php". The page content includes a main heading "OpenMT Evaluation Demo" in blue, followed by a subtitle "Linguistic Features towards Heterogeneous Automatic MT Evaluation". A paragraph of text explains that translation quality aspects are heterogeneous and diverse, involving many different linguistic dimensions, and that most automatic evaluation methods in use today rely on partial quality assumptions, such as lexical similarity. It states that the demo allows users to obtain automatic evaluation scores according to a selected set of metric representatives, together with ULC combined score (i.e., arithmetic mean) over a heuristically defined set of metrics.

**Instructions:**

- Select the target language. The metric set will depend on this choice. Currently, linguistic features are only supported for English. For other languages, the metric set limits to the lexical dimension.
- Type test cases in the text areas below:
  - at least one, and up to five, candidate translations.
  - at least one, and up to five, reference translations.
  - text areas must contain one test case per line up to a maximum of ten.
- Click on the "Go Evaluate!" button at the right.
  - Execution should take between one and five minutes for English, and a just a few seconds for other languages.

At the bottom of the page, there is a "Target Language" dropdown menu currently set to "English" and a "Go Evaluate!" button.

## Part IV

### Appendix: References

## History of SMT

- Weaver, 1949 [Wea55]
- Alpac Memorandum [Aut66]
- Hutchins, 1978 [Hut78]
- Slocum, 1985 [Slo85]

## The beginnings, word-based SMT

- Brown et al., 1990 [BCP<sup>+</sup>90]
- Brown et al., 1993 [BPPM93]

## Phrase-based model

- Och et al., 1999 [OTN99]
- Koehn et al, 2003 [KOM03]

## Log-linear model

- Och & Ney, 2002 [ON02]
- Och & Ney, 2004 [ON04]

## Factored model

- Koehn & Hoang, 2007 [KH07]



## **Syntax-based models**

- Yamada & Knight, 2001 [YK01]
- Chiang, 2005 [Chi05]
- Carreras & Collins, 2009 [CC09]

## **Discriminative models**

- Carpuat & Wu, 2007 [CW07]
- Bangalore et al., 2007 [BHK07]
- Giménez & Màrquez, 2008 [GM08]

## **Language model**

- Kneser & Ney, 1995 [KN95]

## **MERT**

- Och, 2003 [Och03]

## **Domain adaptation**

- Bertoldi and Federico, 2009 [Och03]

## Reordering

- Crego & Mariño, 2006 [Cn06]
- Bach et al., 2009 [BGV09]
- Chen et al., 2009 [CWC09]

## Systems combination

- Du et al., 2009 [DMW09]
- Li et al., 2009 [LDZ<sup>+</sup>09]
- Hildebrand & Vogel, 2009 [HV09]

## **Alternative systems in development**

- Blunsom et al., 2008 [BCO08]
- Canisius & van den Bosch, 2009 [CvdB09]
- Chiang et al., 2009 [CKW09]
- Finch & Sumita, 2009 [FS09]
- Hassan et al., 2009 [HSW09]
- Shen et al., 2009 [SXZ<sup>+</sup>09]

## Evaluation

- Papineni, 2002 [PRWZ02]
- Doddington, 2002 [Dod02]
- Banerjee & Alon Lavie, 2005 [BL05]
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[http://www.medar.info/conference\\_all/2009/Tutorial\\_3.pdf](http://www.medar.info/conference_all/2009/Tutorial_3.pdf)
- Lopez, 2008 [Lop08]
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